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**International
Budget
Partnership**

Open Budget Survey 2021

The Open Budget Survey

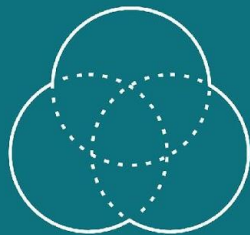
Measures three aspects of open budgets: **transparency** of how public resources are raised and spent, opportunities for **participation** in budget policy decisions, and **oversight** by independent legislatures and audit institutions.

Global Average Results from the Eighth Round of Open Budget Survey

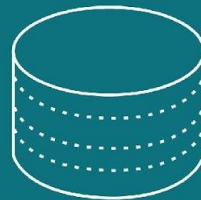


OPEN BUDGET SURVEY 2021

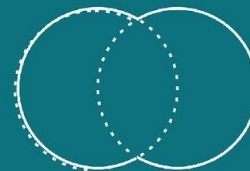
Open Budget Survey 2021 scores



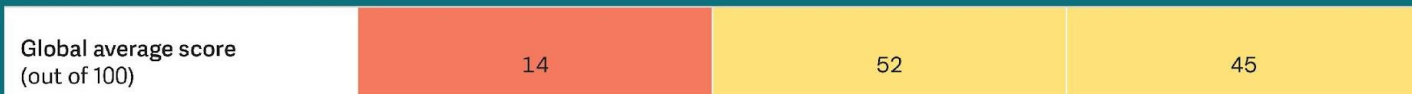
Participation



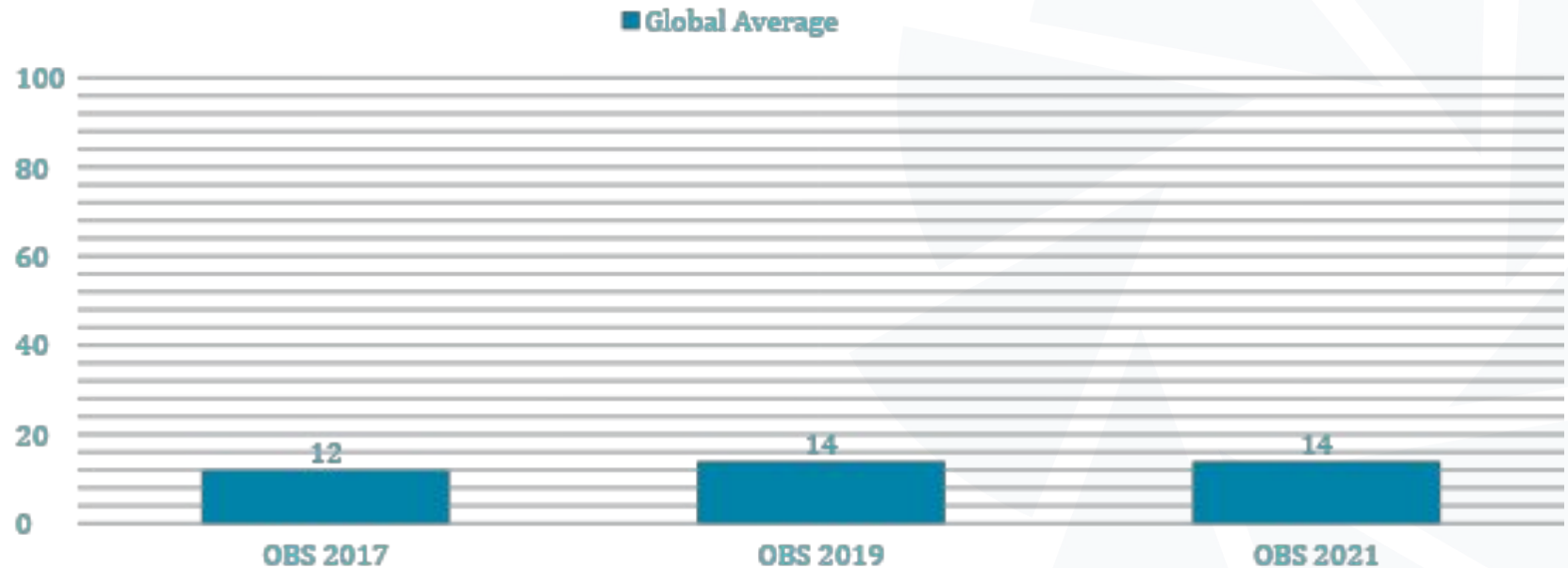
Oversight



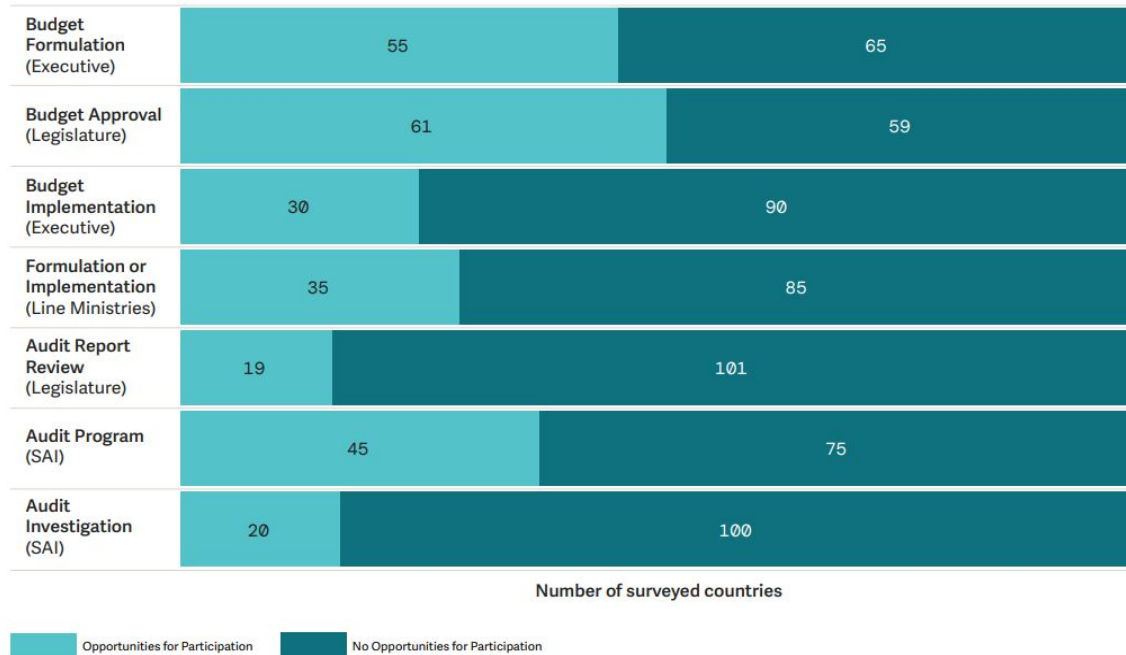
Transparency



Public Participation is rare



Countries are more likely to have participation during budget formulation and approval.



Many governments are introducing innovative approaches to participation

Indonesia: Centralized portals for service delivery complaints

Ghana, Romania, Moldova and Argentina : New public outreach strategies by Audit Institution

Philippines: DevLive App





Impact of Covid

- Digital or online spaces for public participation are on the rise and mostly remained the same during the pandemic.
- Impact of covid – some countries managed to move participation mechanism online, but a few were impacted due to lockdowns.
- There is an increase in opportunities to participate through SAIs



There is room to make existing participation spaces more inclusive

South Korea: Participatory Budgeting with increased representation from marginalized communities in budget consultations (South Korea)

Fiji: Invited and conducted pre-budget consultations with disabled communities

Sierra Leone: Conducts consultations with local government in over 20 district councils, and open to all citizens

Top 4 Performers

OPEN BUDGET SURVEY 2021 – PUBLIC PARTICIPATION

South
Korea

United
Kingdom

New
Zealand

Georgia

Call to Action



We call on governments to:

- Establish opportunities to engage the public in the budget process.
- Curtail executive overreach by improving oversight.
- Disclose more and better information on budgets, debt and fiscal risk.
- Make accountability reforms permanent.



Thank you for joining us. We hope to continue making progress together.

Engage with us:

- Read our report
- Interact with the data
- Join our call to action by visiting internationalbudget.org/open-budget-survey



GIFT Principles on Public Participation



Principles of Public Participation in Fiscal Policies



1
ACCESSIBILITY

Facilitate public participation in general by disseminating complete fiscal information and all other relevant data, in formats and using mechanisms that are easy for all to access, understand, and to use, re-use and transform, namely in open data formats.



2
OPENNESS

Provide full information on and be responsive with respect to the purpose of each engagement, its scope, constraints, intended outcomes, process and timelines, as well as the expected and actual results of public participation.



3
INCLUSIVENESS

Pro-actively use multiple mechanisms to reach out to engage citizens and non-state actors, including traditionally excluded and vulnerable groups and individuals, and voices that are seldom heard, without discrimination on any basis including nationality, race, ethnicity, religion, gender, sexual orientation, disability, age or caste; and consider public inputs on an objective basis irrespective of their source.



4
**RESPECT FOR
SELF-EXPRESSION**

Allow and support individuals and communities, including those directly affected, to articulate their interests in their own ways, and to choose means of engagement that they prefer, while recognizing that there may be groups that have standing to speak on behalf of others.



5
TIMELINESS

Allow sufficient time in the budget and policy cycles for the public to provide inputs in each phase; engage early while a range of options is still open; and, where desirable, allow for more than one round of engagement.



6
DEPTH

Support each public engagement by providing all relevant information, highlighting and informing key policy objectives, options, choices and trade-offs, identifying potential social, economic, and environmental impacts, and incorporating a diversity of perspectives; provide timely and specific feedback on public inputs and how they have been incorporated or not in official policy or advice.



7
PROPORTIONALITY

Use a mix of engagement mechanisms proportionate to the scale and impact of the issue or policy concerned.



8
SUSTAINABILITY

All state and non-state entities conduct on-going and regular engagement to increase knowledge sharing and mutual trust over time; institutionalize public participation where appropriate and effective, ensuring that feedback provided leads to review of fiscal policy decisions; and regular review and evaluate experience to improve future engagement.



9
COMPLEMENTARITY

Ensure mechanisms for public participation and citizen engagement complement and increase the effectiveness of existing governance and accountability systems.




10
RECIPROCITY

All state and non-state entities taking part in public engagement activities should be open about their mission, the interests they seek to advance, and who they represent; should commit to and observe all agreed rules for engagement; and should cooperate to achieve the objectives of the engagement.

www.fiscaltransparency.net

GIFT GLOBAL INITIATIVE FOR
FISCAL TRANSPARENCY

IAP2 spectrum

		INCREASING STAKEHOLDER INFLUENCE ON THE RESEARCH 				
		INFORM	CONSULT	INVOLVE	COLLABORATE	EMPOWER
PROMISE MADE TO STAKEHOLDERS BY RESEARCHERS	STAKEHOLDER PARTICIPATION GOAL	Researchers provide stakeholders with balanced and objective information to assist them in understanding the research process.	Researchers obtain stakeholder feedback on the research process.	Researchers work directly with stakeholders to ensure that stakeholder concerns and aspirations are consistently understood and considered in the research process.	Researchers partner with stakeholders for salient aspects of the research process.	Researchers assist stakeholders in conducting their own research.
		We will keep you informed.	We will keep you informed, listen to and acknowledge your concerns and aspirations and provide feedback on how your input influenced the research process.	We will work with you to ensure your concerns and aspirations are directly reflected in the research process and we will provide feedback on how your input influenced the research.	We will look to you for advice and innovation in designing and conducting the research process and incorporate your advice and recommendations to the maximum extent possible.	We will provide advice and assistance as requested in line with your decisions for designing and conducting your research, as well as for implementing the findings.